

### CASE STUDY

# Biopreservation Best Practices: A Cornerstone in the Supply Chain of Cell-based Therapies – MSC Model Case Study

**Alireza Abazari, Brian J Hawkins, Dominic M Clarke & Aby J Mathew**

Mesenchymal stromal cells (MSCs) are prime candidates for regenerative medicine and therapeutic applications due to both their potent immunomodulatory function and a unique ability to proliferate and differentiate into a variety of cell lineages. However, the stresses incurred during biopreservation/stability intervals (non-frozen and cryopreserved), including transit to and from the clinic can render MSCs ineffective and potentially unsafe. Challenges related to the formulation, transportation, distribution and delivery of source material (tissue, blood, marrow) and MSC-based products are important and inter-related components of the supply chain and scale-up. Effective biopreservation can optimize the quality of cell/tissue source material and final cell/tissue products, mitigate the adverse effects of interruptions and unforeseen events throughout the supply chain, as well as support the return to function of MSCs following patient administration. Conversely, inadequate environmental controls and biological support throughout the supply chain can limit transportation options, restrict the geographic distribution and reduce the clinical efficacy of MSC-based therapies. Indeed, it is possible that the contradicting reports in the literature on the impact of biopreservation on MSCs may stem from the lack of appropriate biopreservation protocols. Optimized biopreservation considerations are critical components of cell and tissue manufacturing systems, a robust and risk-mitigated supply chain, and are recommended for the commercialization of MSC-based products. This article aims to discuss the importance of Biopreservation Best Practices in the commercialization of MSC-based therapies and the relative benefits and concerns of different supply chain models.

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## INTRODUCTION

The diverse therapeutic attributes of mesenchymal stromal cells (MSCs) lend to their rapid development as cellular therapeutics. These unique attributes include potent immunomodulatory properties [1,2], the potential to differentiate into multiple cell lineages [3,4] and the ability to secrete myriad cytokines and exosomes [5], which can be exploited for developing treatments for GvHD [6,7], wound healing [8], tissue regeneration [9], acute trauma care [10,11] and other clinical applications [12,13]. Further to their therapeutic attributes, MSCs can be readily sourced from various tissues [14–16], demonstrate a high *ex vivo* proliferation capacity, and are naturally hypoimmunogenic, due to both a lack of MHC-II complex and co-stimulatory domains and the secretion of anti-inflammatory cytokines [17]. Collectively, these attributes make MSCs highly suitable for developing allogeneic, off-the-shelf therapies with the potential for large-scale commercial manufacturing. Nonetheless, there are practical challenges in the commercialization of MSC-based therapies including *ex vivo* cellular instability, which imposes logistical concerns surrounding Point-of-Care delivery. The incorporation of Biopreservation Best Practices is a commercialization imperative to secure the supply chain for MSC-based therapies, and process steps should be carefully designed to minimally impact MSCs' viable recovery and return to function post-storage.

Cryopreservation is the established modality for the long-term preservation of biologics. Where the logistics of clinical laboratory work and transplantation/transfusion are uncertain, cryopreservation

affords ample time for planning and manipulation. Commonly used 'preservation' media consist of an extracellular-like solution such as cell growth/culture media, saline or other physiologic buffers. Often, additional components such as serum and protein are added to these carrier solutions for osmotic support, while a cryoprotective agent (i.e., DMSO, glycerol, etc.) is supplemented when long-term storage via cryopreservation is warranted. Hypothermic preservation (traditionally on ice or at 2–8°C range) is an established biopreservation method, which slows biological degradation during a short-term *ex vivo* or culture excursion. Hypothermic storage is commonly employed when cells are intended for immediate processing or administration, when the logistical demands of utilizing frozen samples are limiting, or when cryopreservation damage in certain cell types exceeds the critical level for cell product efficacy.

Under normothermic conditions, the cells maintain a specific, cross-membrane gradient of ions through the ATP-driven action of membrane pumps. The tightly regulated intracellular ionic balance provides a platform for proper intracellular cell signaling, any alterations to which can have grave consequences on cell fate. During hypothermic storage and cryopreservation, increased membrane leakage combined with cold-induced dysfunction of membrane pumps, result in perturbation of intracellular ionic balance that can initiate apoptotic and necrotic pathways. A strategy to minimize cold-induced ionic perturbations is to maintain cells in a media that mimics the ionic balance of the intracellular milieu. Incorporation of such an



‘intracellular-like’ solution during the preservation period can minimize cold stress and associated downstream adverse cellular events.

Two major supply chain strategies are frozen and fresh delivery models, each with a set of requirements for trained staff and infrastructure. To select an optimal supply chain strategy, an awareness of the caveats to each preservation method is essential. In this case study, a commercially available, well-characterized, strain of MSCs was utilized as a representative cell model to investigate the potential impact of hypothermic storage and cryopreservation on MSC-based cell products. The results of this study can be used toward selecting an optimal supply chain strategy, which includes storage, transport and shipping practices for commercialization of cell-based products.

## MATERIALS & METHODS

### Cell culture

Human MSCs (hMSCs) were obtained from Lonza (MD, USA). Stock cultures were maintained at 37°C and 5% CO<sub>2</sub> in Falcon T-75 cm<sup>2</sup> flasks (VWR, PA, USA). HMSC cultures were grown in MSC basal medium (MSCBM) supplemented with MSCGM SingleQuots (Lonza, CA, USA). Stock cultures were subcultured every 5–6 days at approximately 95% confluence, and media was replenished every 3 days. Experiments were performed using cell cultures between passages 2 and 10. Prior to experiments, cultures were supplemented with fresh culture media for 1 day.

Differentiation of hMSCs to osteoblasts was performed according to manufacturer instructions

(Lonza, MD, USA). Once differentiated, osteoblasts were maintained at 37°C and 5% CO<sub>2</sub> in Falcon T-75 cm<sup>2</sup>-flasks in hMSC osteogenic differentiation medium.

### Hypothermic storage

A variety of solutions were tested for hypothermic storage efficacy. In the extracellular-like category, the following solutions were tested: MSCBM (supplemented with 10% serum), Normosol®-R and Plasma-Lyte A (provided by Puget Sound Blood Center, now Bloodworks Northwest, WA, USA), AQIX (AQIX Ltd, UK), and Celsior® (Genzyme, MA, USA). For the intracellular-like solution category, ViaSpan (Barr Pharmaceuticals, NJ, USA) and HypoThermosol® FRS (HTS-FRS, BioLife Solutions, WA, USA) were tested.

In hypothermic storage experiments, cells were plated in 96-well culture plates and were grown to confluence (~104 cell/well). At this point, the media was replaced with the respective preservation solutions (100 µl/well), the plates were sealed with parafilm and were stored at 2–8°C for 1–7 days. Following hypothermic storage, plates were removed from cold, and the bio-preservation solutions were replaced with serum-supplemented MSCGM, and the cells were allowed to recover under culture conditions for 1 day prior to assessment.

### Cryopreservation

For cryopreservation studies, the following solutions with extracellular-like formulation were used: fetal bovine serum (FBS), MSCGM, Normosol-R and Plasma-Lyte. The cryopreservation media were prepared by supplementing these solutions with DMSO at 2, 5 or



10% v/v with or without 10% v/v FBS. The intracellular-like solutions for the cryopreservation experiments include CryoStor CS2, CS5 and CS10 (BioLife Solutions, WA, USA), which were all serum-free and protein-free, and were used as provided without further manipulation.

Human MSCs were grown to ~70–80% confluence in T-75 cm<sup>2</sup> flasks, at which point they were detached by 10 min incubation with TrypLE® (GIBCO, Thermo Fisher Scientific, MA). After addition of 10x volume of MSCGM supplemented with 10% v/v FBS to neutralize TrypLE, centrifugation at 100 g, and removal of supernatant, the cell pellets were resuspended in respective cryopreservation solutions to achieve  $6.0 \times 10^5$  cells/ml density and 0.5 ml of cell suspensions were placed into 1.2 ml cryovials. Vials were then placed inside a pre-chilled isopropyl alcohol passive freezing container. The samples were stored for 10 mins at 2–8°C to allow cell samples to equilibrate, then transferred to -80°C with manual ice nucleation at 20 mins after transfer. After 3 hours, frozen vials were transferred to LN<sub>2</sub> for 18–24 hours. Samples were thawed in a 37°C water bath, immediately resuspended in culture media (1:10 dilution), plated, and allowed to recover in culture for 1 day prior to assessment. The control group consisted of non-cryopreserved cells.

### Post-thaw hold time

To assess the impact of post-thaw hold time (non-frozen stability) on cell viable recovery, hMSCs were cryopreserved, as described above, in two groups: one group was cryopreserved in CryoStor CS10, and another group in Plasma-Lyte A

supplemented with 10% v/v serum and 10% v/v DMSO (PL10). After thaw, each group of cryopreserved hMSCs was stored for 1 hour and 6 hours at refrigerated (2–8°C) and ambient (20–25°C) temperatures. After the post-thaw hold period, cells were returned to culture conditions for 24 hours before viable recovery was assessed by alamarBlue® metabolic activity assay. The control groups were immediately returned to normothermic culture conditions post-thaw.

### Cell viability assessment

Cell viable recovery following the various treatments was assessed both qualitatively and quantitatively. The assessment was performed 1 and 3 days post-preservation for each experiment. Qualitative assessment was achieved by visualization using light microscopy. Quantitative assessment was accomplished using alamarBlue® (AbD Serotec, Bio-Rad, CA, USA). AlamarBlue® was diluted 1:20 in Hank's Balanced Salt Solution (Life Technologies, MD, USA) without phenol red (HBSS). Culture medium from 96-well plates was removed and 100 µl of the working alamarBlue® solution was added to each well. Samples were then incubated in the dark at 37°C for 60 mins (±1 min). Fluorescence was evaluated using a Tecan SPECTRAFluorPlus plate reader (TECAN Austria GmbH, Austria) with a 530-nm excitation/590-nm emission filter set.

### Fluorescence microscopy

Images of fluorescently-labeled cells were taken 1 day post-preservation. For staining, mitochondria were labeled with the cell-permeant MitoTracker Red CMXRos (final concentration of 500 nM), Alexa



Fluor 488 phalloidin (1 unit/sample) was used to stain F-actin, and Hoechst 33342 (1 µg/ml) was used for staining cell nuclei (Invitrogen, CA, USA). In brief, culture media was removed from culture wells and a working solution of MitoTracker Red was prepared in culture media and was added at 50 µl/well. Cultures were incubated for 15 min at 37°C. Following incubation, solutions were removed and culture wells were washed gently twice with 10x diluted universal buffer (UB; Electron Microscopy Sciences) solution (1.5 M NaCl, 200 mM Tris, 0.1% NaN<sub>3</sub>, pH to 7.6 with HCl) with WFI-quality water. Cells were then fixed and permeabilized by adding 50 µl/well of fixative (3.7% formaldehyde in PBS) for 7 min, washed twice, permeabilized (0.2% Triton X-100 in 10x diluted UB) for 2.5 min, and washed twice. A working stock of phalloidin and Hoechst was prepared in 10x diluted UB and 50 µl/well was added to each well and incubated for 15 min at 37°C. Samples were washed twice with 10x diluted UB and 50 µl/well of solution was left in each well to prevent drying. Samples were then imaged at 20x magnification using a Zeiss Axiovert 200 fluorescent microscope with the AxioVision 4.7.2 software (Zeiss, Germany). At least three separate experiments were evaluated.

### Mitochondrial morphology

Mitochondrial morphology was performed on epifluorescence images of MitoTracker Red CMXRos acquired using a Zeiss Axiovert 200 fluorescent microscope with the AxioVision 4.7.2 software (Zeiss, Germany) and a 20x LWD objective (568/595 nm excitation/emission). Images were processed using the

Fiji/ImageJ software [18] as previously described [19]. Gray scale images were processed using convolve and median filters, respectively, followed by thresholding. Images were then subjected to particle analysis to determine mitochondrial area (A), perimeter (P) and aspect ratio (AR). Form factor (F) was calculated using the following equation:

$$F = \frac{P^2}{4\pi A}$$

### Data analysis

Fluorescence units were converted to percent survival based upon experimental non-preserved controls (37°C). Calculations of standard error of the mean (SEM) were performed and statistical significance was determined using single factor ANOVA analysis. Cell viability experiments were repeated a minimum of three times with an intra-experimental repeat of 8 (n = 24).

## RESULTS

### The impact of media formulation on the recovery of hMSCs following hypothermic storage

Hypothermic storage of hMSCs may be employed for short-term *in vitro/ex vivo* transportation between the patient/donor and the processing laboratory or manufacturing facility. To compare the efficacy of extracellular-like versus intracellular-like storage media on hMSC recovery after hypothermic storage, a variety of solutions, including intracellular-like HTS-FRS and ViaSpan, and extracellular-like MSCGM, AQIX, Plasma-Lyte,



and Normosol-R were tested. After 3 days of storage in hypothermic conditions, hMSCs stored in HTS-FRS demonstrated robust morphology as indicated by intact actin cytoskeleton, and maintained a visually unbroken cell monolayer (Figure 1). By contrast, hMSC monolayers stored in alternative media demonstrated diminished cell numbers after only 1 day of storage, and individual cells exhibited abnormal morphology as suggested by the compromised actin cytoskeleton. At and beyond 3 days of hypothermic storage, only cells stored in HTS-FRS exhibited a normal actin cytoskeleton after staining similar to non-preserved controls. Post-storage differentiation of the cells demonstrated similar differentiation capacity in all groups, albeit with significant cell loss in ViaSpan, NormoSol-R, and PlasmaLyte-A groups (Figure 1 A3–D3). In addition to morphological examination, the viable recovery of hMSCs was also examined using the metabolic indicator alamarBlue® 24 hours after cells were returned to culture conditions. In this assay, the irreversible reaction of resazurin to resorufin is proportional to aerobic respiration, and can be used as a surrogate for cell metabolism during recovery. Consistent with visual morphology, cells stored in HTS-FRS displayed an improved functional recovery post-preservation compared to the other extracellular-like solutions tested (Figure 1). Compared to non-preserved controls, hMSCs stored in HTS-FRS for 1 day resulted in  $95\pm3\%$  recovery of viable cells compared to  $6\pm1\%$  in normothermic growth media (MSCGM). During the same storage period, the viable recovery of hMSCs stored in other hypothermic storage media

decreased to  $64\pm10\%$  for Normosol,  $76\pm4\%$  for Plasma-Lyte A,  $6\pm1\%$  for AQIX,  $16\pm8\%$  for Celsior, and  $32\pm4\%$  for ViaSpan. Following 3 days of storage, only hMSCs stored in HTS-FRS exhibited metabolic activity as detected by alamarBlue® ( $97\pm3\%$  of normothermic controls). hMSCs stored in HTS-FRS for 5 days maintained  $84\pm4\%$  metabolic activity compared to the control group, beyond which, the viable recovery decreased by hypothermic day 7 to  $38\pm5\%$ .

Mitochondria are the predominant site of cellular metabolism whose primary role is to convert substrates into stored cellular energy in the form of adenosine triphosphate (ATP). For decades considered merely static structures, mitochondria are increasingly recognized as dynamic organelles whose physical organization ranges from a punctate, globular appearance to an elongated, reticulated network. The general consensus is that fragmented (i.e., globular) mitochondria are indicative of mitochondrial dysfunction, diminished ATP production and an increase in the generation of deleterious reactive oxygen species, whereas a reticulated mitochondrial network is associated with enhanced ATP production, reduced oxidant generation, and a resistance to cellular stress [20]. Under normothermic conditions, undifferentiated hMSCs exhibited a predominantly reticulated mitochondrial appearance as revealed by image analysis of the mitochondrial ultrastructure [19] using the cationic fluorophore MitoTracker Red (Figure 2), which is sequestered and retained within functional mitochondria. A reticulated mitochondrial appearance in hMSCs is consistent with previous



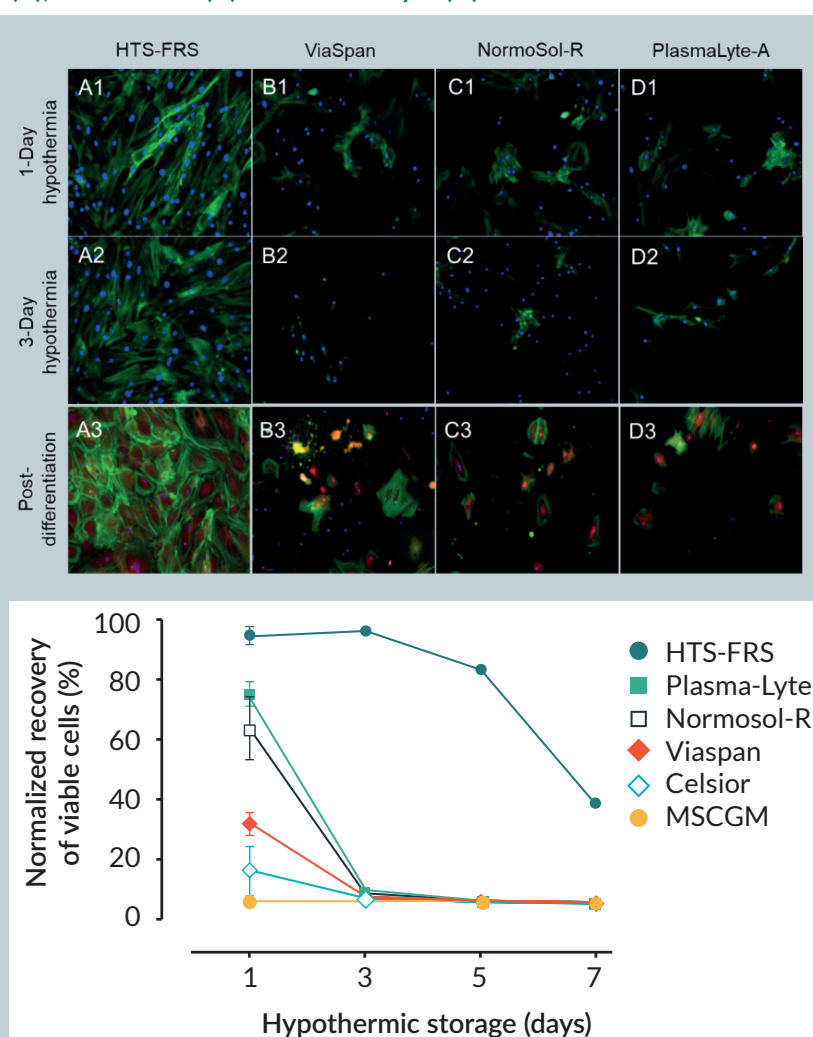
reports [21,22]. Following 1 day of hypothermic storage, MitoTracker Red fluorescence generally decreased in hMSCs stored in the intracellular-like media ViaSpan, as well as those cells stored in the extracellular-like Normosol-R and Plasma-Lyte A, which is indicative of diminished mitochondrial function and consistent with a loss in cell viability (Figure 1). In addition, the extracellular-like media formulations resulted in significant alteration in mitochondrial morphology from a reticulated to a fragmented network as illustrated by a reduction in the mitochondrial form factor. By contrast, hypothermic storage in HTS-FRS conveyed a protective effect to hMSCs, as shown by robust MitoTracker Red staining and a more normal mitochondrial morphology. Despite the reduction in MitoTracker Red fluorescence in hMSCs stored in ViaSpan, hypothermic storage did not significantly impact mitochondrial morphology, suggesting that an intracellular-like storage solution protected mitochondrial function in those cells that survived the hypothermic insult.

### Post-thaw recovery of cryopreserved hMSCs

Cryopreservation is currently the only option for long-term storage of living cells and tissues, with dimethyl sulfoxide (DMSO) being the most common cryoprotectant used to minimize freezing injury. To investigate whether the protective effects of an intracellular-like formulation extended to cryogenic storage, a standard extracellular-like cell culture growth media (MSCBM) supplemented with 10% serum was compared to intracellular-like, serum-free and protein-free CryoStor

## FIGURE 1

**Morphology of MSCs following 1-day (Row 1) and 3-day (Row 2) hypothermic storage in HypoThermosol FRS (HTS-FRS) (A), ViaSpan (B), Normosol-R (C) and Plasma-Lyte (D).**



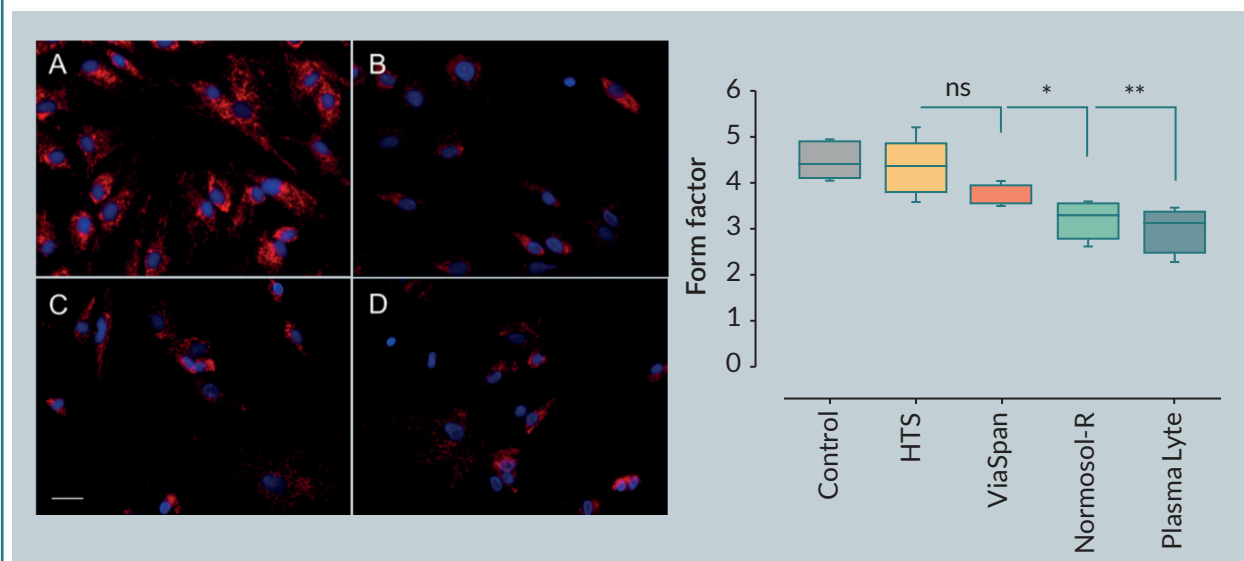
The morphology was examined by staining for F-actin (Alexa Fluor 488 phalloidin; green) and nuclei (Hoechst 33342; blue) Visualization of hMSC differentiation after 3-day hypothermic storage (Row 3). Cell morphology changes from standard spindle-like shape to cuboidal-shape were observed in all groups, albeit with significant variation in the number of differentiated cells (E) Normalized recovery of viable MSCs after hypothermic storage in various media over 7 days as assessed by alamarBlue® assay.

media. The optimal DMSO concentration for hMSC cryopreservation was determined by supplementing freezing media with 2, 5 and 10% v/v DMSO. After 24 hours post-thaw, hMSCs cryopreserved in MSCGM demonstrated a significant increase in viability from  $23 \pm 1\%$  to  $65 \pm 3\%$  with increasing DMSO content from 2 to 5%, and reached the



► **FIGURE 2**

Mitochondrial reticular structure in MSCs stored under hypothermic conditions for 1 day.



(A) HypoThermosol FRS (HTS-FRS), (B) ViaSpan, (C) Normosol-R, and (D) Plasma-Lyte. Form factor; (E) mitochondria form factor is a measure of mitochondria granularity. A lower value form factor represents disconnected and granulated mitochondrial network suggesting increased mitochondrial stress (\* $p < 0.05$ ; \*\* $p < 0.01$ ; scale bar: 10  $\mu$ m).

highest post-thaw viable recovery at  $72 \pm 3\%$  with 10% v/v DMSO (Figure 3A). Cells cryopreserved in CryoStor showed higher viable recovery compared to MSCGM at all corresponding DMSO contents, with  $69 \pm 6\%$ ,  $81 \pm 4\%$  and  $83 \pm 3\%$  for CryoStor with 2% (CS2), 5% (CS5), and 10% (CS10) v/v DMSO content, respectively. Indeed, at equivalent DMSO contents, post-thaw viable recovery was enhanced when the cells were cryopreserved in the intracellular-like carrier solution compared to extracellular-like formulations supplemented with serum. It is interesting to note that, in the absence of serum, the viable recovery of hMSCs cryopreserved in the intracellular-like medium with 2% DMSO (CS2) is statistically equivalent to the viable recovery of hMSCs frozen in serum-supplemented, extracellular-like medium with 10% v/v DMSO. This result suggests a synergistic partnership between an intracellular-like formulation (salts,

sugars, impermeants, and buffers resulting in a hyperosmotic solution) and DMSO, which reduces the amount of primary cryoprotectant (DMSO) necessary for optimal cryopreservation.

To assess the impact of serum on post-thaw viable recovery of hMSCs, different carrier solutions with extracellular-like formulations were supplemented with 10% DMSO and used to cryopreserve hMSCs in the presence or absence of 10% v/v serum. One group of cells was cryopreserved in full serum supplemented with 10% DMSO, and another group was cryopreserved in CryoStor CS10 to represent a serum-free, intracellular-like condition. Overall, serum-free media, with the exception of CryoStor CS10, resulted in lower post-thaw viable recovery compared to media supplemented with serum (Figure 3B). Average post-thaw cell viable recovery in serum-free media with 10% v/v DMSO was  $75 \pm 3\%$  for CS10,  $55 \pm 4\%$  for MSCGM,



60±3% for Normosol-R, and 57±3% for Plasma-Lyte A. In comparison, cell viability in the serum-supplemented group was 67±6% for MSCGM, 70±3% for Normosol-R, and 70±2% for Plasma-Lyte A. The DMSO-supplemented serum-only group resulted in 68±4% viability. Interestingly, although statistically equivalent to the serum-supplemented group, the average post-thaw viable recovery of hMSCs in serum-free CryoStor CS10 was the highest among all the groups. These results suggest that serum is not an essential isolated component for successful cryopreservation of hMSC, and a serum-free intracellular-like formulation can achieve similar or better post-thaw recovery at equivalent DMSO contents.

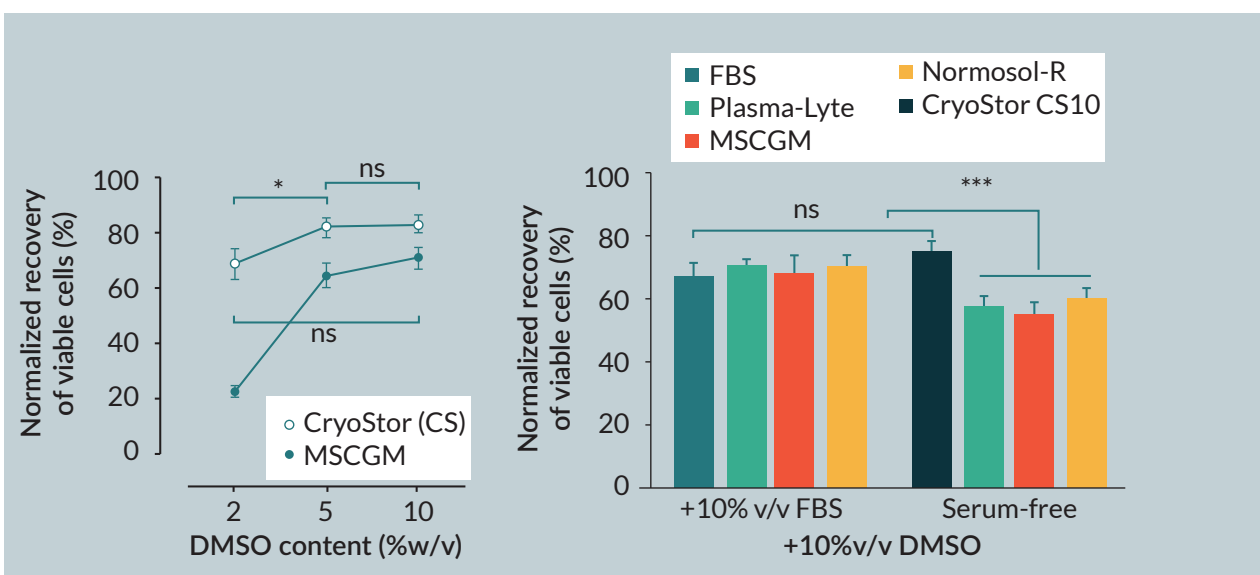
### Effect of post-thaw holding time & temperature on recovery of cryopreserved hMSCs

It is often believed that cryopreserved cells should be immediately

washed post-thaw to reduce the toxic effects of DMSO (or other cryoprotective agents). However, it may not be practical to incorporate an immediate wash step into all cell manufacturing processes, and the potential cell loss and/or cell damage due to wash/centrifugation manipulation steps may not be acceptable or optimal. As such, cells may be held in cryopreservation media in a non-frozen state, and thus exposed to DMSO for an extended period of time post-thaw in clinical or manufacturing settings. To evaluate the effect of post-thaw non-frozen hold time (i.e., post-thaw stability) on viability, hMSCs cryopreserved in CryoStor CS10 or Plasma-Lyte supplemented with 10% v/v serum and 10% v/v DMSO (PL10) were held for 1 hour and 6 hours post-thaw under refrigerated (2–8 °C) and ambient/room (20–25 °C) temperatures. In general, despite the observed trends, the 2-way ANOVA analysis concluded the differences were not statistically

## ► FIGURE 3

(A) The impact of increasing DMSO content on post-thaw recovery of viable MSCs in intracellular- and extracellular-like base solutions (\*\* $p < 0.01$ ); (B) the impact of serum presence/absence on post-thaw recovery of MSCs as assessed by alamarBlue® assay. All the groups contained 10% v/v DMSO (\*\* $p < 0.001$ ).





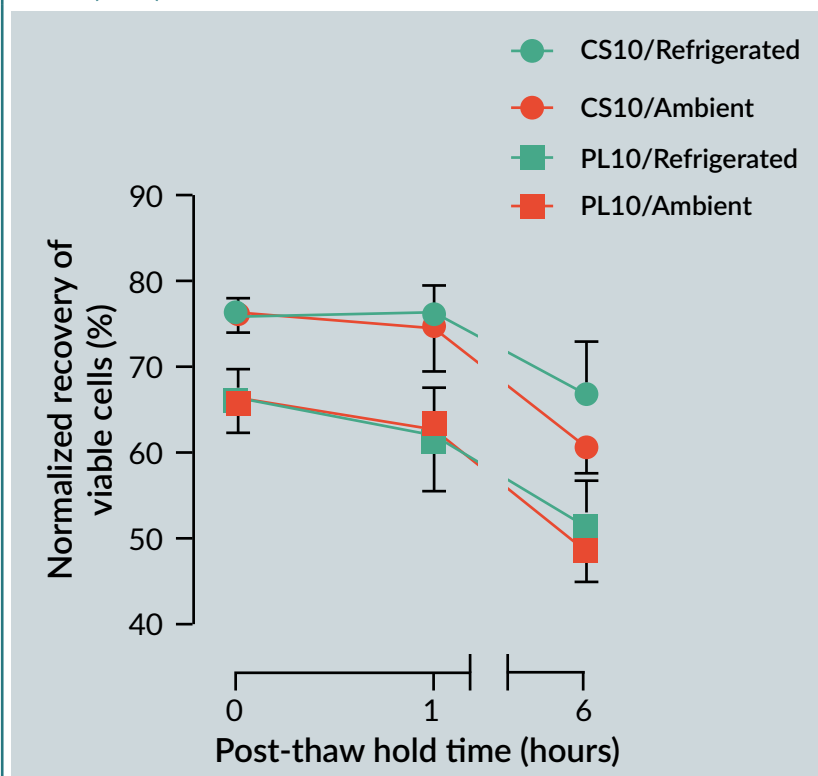
significant, potentially due to the small sample size (Figure 4). Nonetheless, at identical post-thaw hold time and temperature, the viable recovery of hMSCs cryopreserved in CS10 was 10–15% higher than those cryopreserved in PL10. Under refrigerated conditions, the CS10 group exhibited a minimal loss of viable recovery, from  $76\pm2\%$  immediate post-thaw to  $76\pm3\%$  after 1 hour, and to  $67\pm6\%$  after 6 hours post-thaw. In comparison, the PL10 group, mimicking traditional extracellular-like/isotonic clinical homebrew freeze media, viable recovery decreased from  $66\pm4\%$  immediately post-thaw to  $62\pm6\%$  at 1 hour and to  $52\pm5\%$  after 6 hours post-thaw. In the groups kept at ambient/room temperature, the recovery

of the cells in CS10 remained unchanged at  $75\pm5\%$  after 1 hour, but dropped to  $61\pm3\%$  after 6 hours post-thaw. The average viable recovery of the cells in PL10 group declined slightly to  $63\pm7\%$  after 1 hour, and dropped to  $49\pm4\%$  after 6 hours post-thaw.

It was interesting to note that, regardless of the storage temperature, the average viable recovery of the hMSCs cryopreserved in CS10 or PL10 did not significantly change up to 1 hour post-thaw. This finding suggests a minimal short-term toxicity to hMSCs from DMSO and other components of the respective cryopreservation media. It should also be noted that 6 hours post-thaw refrigerated storage of cells cryopreserved in CS10 resulted in slightly higher viabilities when compared to storage at ambient storage. The similar trend between the CS10 and PL10 groups at both temperatures suggests that the loss of viability in both groups is likely due to DMSO toxicity. Nonetheless, the 6-hour post-thaw viable recovery of the cells preserved in CS10 was statistically equivalent to the immediate post-thaw viable recovery of the cells that were cryopreserved in PL10. These results suggest that an intracellular-like carrier formulation with improved ionic balance can extend the time in which hMSCs can be exposed to DMSO without a significant negative impact on viable recovery, and as such, offers a more robust cryopreservation option for large-scale cell manufacturing.

#### FIGURE 4

The impact of post-thaw hold time under refrigerated (filled symbols) and ambient (open symbols) conditions on the recovery of MSCs, which were frozen in CryoStor CS10 (circles) or Plasma-Lyte/DMSO PL10 (squares). Error bars represent Standard Error of the Mean (SEM).



#### DISCUSSION

Biopreservation is an integral part of the supply chain of any



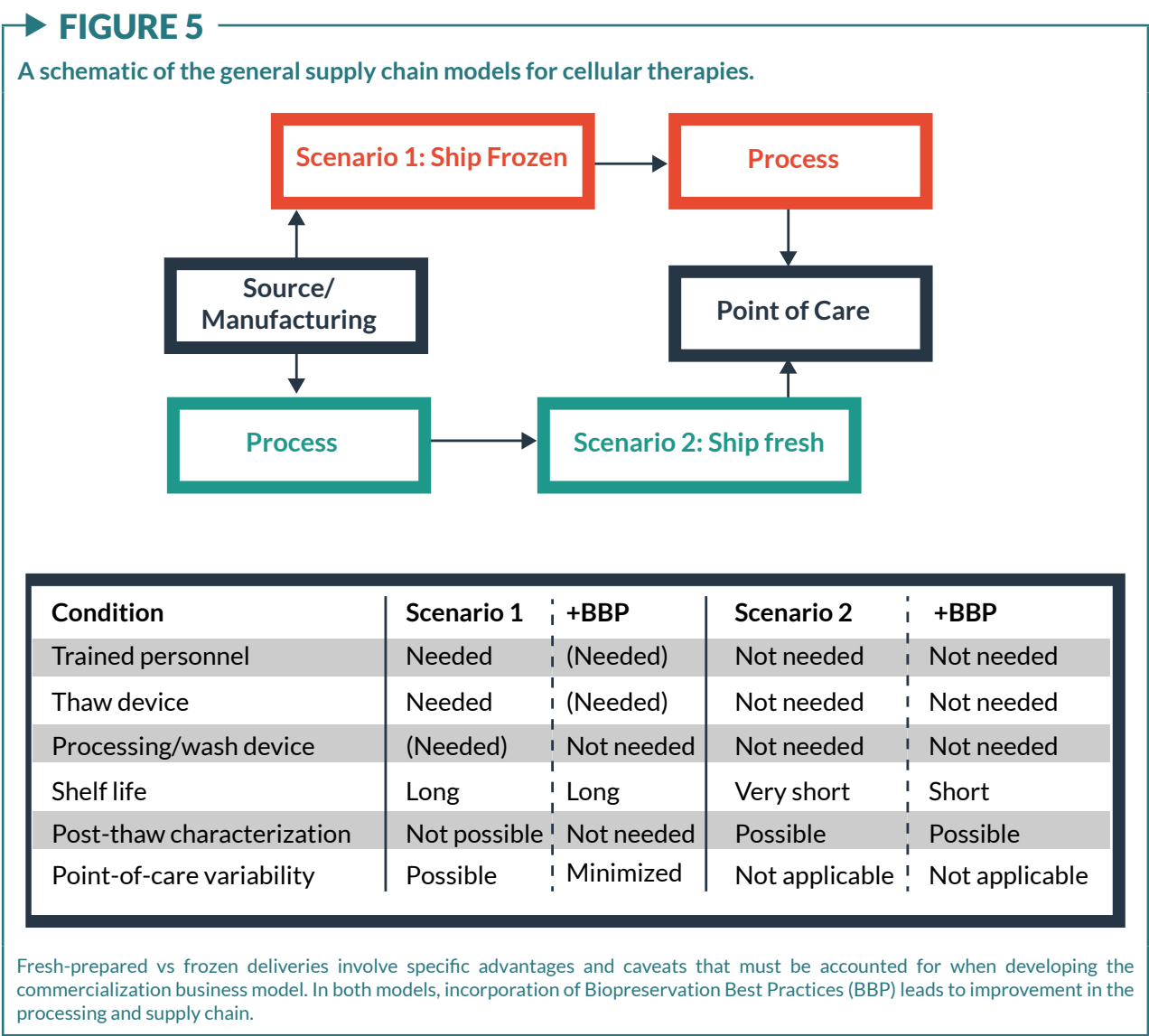
commercially viable cellular therapy, as well as clinical center cellular therapies that are increasingly impacted by time and distance. Biopreservation refers to the processes that support the stability of biological cells to ensure a return to function post-preservation. Traditionally, this is achieved by lowering the temperature to just above the freezing point of water (2–8°C) to slow down cellular metabolism, or by freezing cells to cryogenic temperatures (such as liquid nitrogen, -196°C) to arrest all biological activities in a suspended animation state. However, in both cases, cold-induced physical and physiological stresses can accumulate to an extent that harms the cells beyond the capacity to repair, triggering downstream apoptotic and secondary necrotic cell death pathways. Optimized biopreservation strategies reduce such cold-induced stresses and can improve cellular health and functionality following storage. With respect to commercialized cell and gene therapies, effective biopreservation protocols can provide much needed flexibility in manufacturing and shipping, facilitate effective process development and reduce manufacturing costs.

### **Infrastructure considerations for manufacturing & Point-of-Care, & the implications of selecting the optimal biopreservation strategy**

The choice of supply chain model for commercially manufactured cellular therapies influences the infrastructure needs at the Point-of-Care (PoC). For transportation to a central manufacturing facility or the PoC, there are two primary models for the supply chain:

namely, frozen delivery and thaw at the destination, and (2) formulate for fresh (non-frozen) delivery (**Figure 5**). In the frozen delivery model, the patient/donor cells are generally harvested in the clinic and transported to an on- or off-site laboratory/facility in cryopreserved or hypothermic state, for further processing and culture. On the return leg, the therapeutic autologous/allogeneic dose is cryopreserved and transported to the patient, where it is thawed, processed and administered. In the fresh delivery model, the cells are formulated at the central manufacturing facility and transported fresh in liquid form to the PoC. Each supply chain model entails certain advantages and considerations (**Table in Figure 5**). For example, the frozen model requires trained personnel and proper equipment at the PoC, while such needs are reduced in the fresh delivery model. On the other hand, fresh delivery, with acute shelf-life limitations, is more vulnerable negative logistical impacts than a frozen delivery model. Some reduced costs of non-frozen transport (i.e., lack of LN<sub>2</sub> dry shipper or equivalent, weight, etc.) may be offset by the needs of faster delivery times and more stringent logistics controls to facilitate a smaller window of delivery time to maintain cell product stability. Whether frozen or fresh, enabling Biopreservation Best Practices can be beneficial to the processing-related costs, and facilitate the development of broadly available cellular products. For this purpose, the evaluation and/or validation of optimal biopreservation parameters for both short-term (hypothermic) and long-term (cryopreserved) storage for each cell product is recommended.





**Hypothermic storage of hMSCs**

It is not always feasible to immediately process and cryopreserve the cells following harvest and isolation, or upon receiving for patient delivery. As cells gradually degrade following removal from the human body or culture conditions, transportation of the cells can adversely impact viable recovery and function. In addition, cell thawing at the PoC can be a point of risk and variability for the utilization of cryopreserved products. Alternatively, hypothermic storage requires minimal manipulation steps, and

eliminates the need for specialized freezing and thawing equipment at the point of collection or administration, and can be considered as an option for the delivery of fresh (non-frozen) cells that may be directly administered at the PoC without further processing. Numerous reports have documented that refrigerated storage can facilitate an improvement in overall recovery and stability in multiple cell types (including stem cells) versus storage at room temperature [23-26]. However, significant loss of recovery generally occurs when cells are hypothermically stored beyond



24 hours [24,27]. In many cases, cells and tissues, including apheresis/leukapheresis collections, are transported from source location to a processing site over long distances, or may be delayed due to unforeseen logistic circumstances, including unplanned patient unavailability or transport service interruption. Under such circumstances, extended stability is of benefit for a cellular product intended for downstream processing or patient application.

In this study, we found that refrigerated storage of hMSCs in the intracellular-like, serum-free and protein-free HypoThermosol FRS (HTS-FRS) media can support maintaining nearly ~100% viable recovery of hMSCs up to 72 hours and can maintain high levels of viable recovery (~80%) up to 120 hours. Under similar storage conditions, all other media options tested in this study were unable to support the recovery of the cells at any level for more than 24 hours. The accumulation of cellular stresses induced by cold, as evidenced by disruption in the actin cytoskeleton and mitochondrial fission and granulation, can contribute to a loss of viability and recovery after 1 day of refrigerated storage. With slowed ATP generation and ion pump activity, cold storage in extracellular-like medium can cause an intracellular ionic imbalance, which leads to the disruption of normal cellular functions [28]. Interestingly, while the intracellular-like organ preservation media ViaSpan (also known as University of Wisconsin or UW solution) was not as effective for the recovery of viable cells, it was effective at maintaining mitochondrial ultrastructure in the cells that survived. We posit that the differences in hMSC viability between

HTS-FRS and ViaSpan may be due to the differential osmolytes in HTS-FRS versus ViaSpan, while the reduction in mitochondrial stress could be due to the intracellular-like ionic concentrations in both formulations. The hypothermic storage and stability benefits afforded by HTS-FRS have been described in a number of cell and tissue applications [29–31], as well as its inclusion in a number of clinical applications [28,32,33]. The current study describes those benefits in an hMSC model, showing that surviving hMSCs can differentiate into other cells regardless of the bio-preservation media tested (Figure 1). However, beyond 1 day of hypothermic storage, only the hMSCs stored in HTS-FRS were still viable and capable of differentiating into osteoblasts.

### Cryopreservation of hMSCs

Preservation of cellular phenotype, including the expression of specific surface membrane proteins, is a vital component of therapeutic efficacy for clinical/commercial cell products. Several relatively recent reports have presented contradicting evidence regarding the impact of cryopreservation on the viability and functionality of hMSCs. On one hand, reports demonstrate that cryopreserved MSCs appeared to exhibit an impaired immunosuppressive potential and decreased engraftment capacity compared to freshly isolated cells [34,35]. Other studies have similarly reported on the compromised qualities of MSCs immediately post-thaw that can be reversed within 24–72 hours by post-thaw culture/recovery [3]. Such observations have led some researchers to believe that MSC cryopreservation, especially for certain applications,



should be avoided or that cells must be rescued post-thaw and administered 'fresh'. On the other hand, other findings attest to the robustness of hMSCs when cryopreserved using differing cryopreservation protocols [36,37]. In one such study by Yuan *et al.*, the proliferation, differentiation capacity and expression of engineered surface proteins after cryopreservation remain comparable to freshly isolated controls [38]. As the cryopreservation protocols differ among the studies cited in this section, including whether utilizing extracellular-like cocktails or intracellular-like biopreservation media, the disparities in post-thaw viable recovery and function could be attributed to any number of variables related to the cryomedia composition and/or freezing protocol. In our study, commercially procured hMSCs were used as a cell model to investigate the impact of cold-induced stresses on viability and recovery post-thaw in commonly employed cryomedia formulations. However, hMSC characteristics vary between donor and source, and consequently, in-depth characterization and phenotypic stability was not a focus of this study. Investigation of phenotypic characteristics of a specific hMSC population likely vary between samples and is recommended to be validated for each application to ensure safe and effective cell-based therapies.

It is important to note that overlooking the principles of biopreservation in practice may result in inconsistent and contradictory outcomes such as those reported for post-thaw hMSC function [39,40]. The cryopreservation media employed in the cited studies are composed of an extracellular-like base media (including cell culture/growth

media or physiologic buffers such as saline, plasma, Normosol-R and Plasma-Lyte A) supplemented with serum and/or protein and varying amounts of DMSO. An extracellular-like solution closely resembles the ionic composition of the human serum and interstitial fluid that is balanced for cells at normothermic temperature (37°C). When stored at reduced temperatures in extracellular-like solutions such as culture media or physiological saline, the delicate intracellular ionic balance can be significantly disturbed, and extracellular-like compositions are not balanced or buffered for these low temperature conditions. Cold-induced cellular shifts include: transient membrane permeability [41]; inactivation of ATP-driven ion pumps and osmotic swelling [42]; alteration of protein solubility and aggregation [43]; anaerobic metabolism that leads to lactate accumulation and a resultant intracellular pH shift [44,45]; and increased free radical generation and reduced capacity to scavenge free radicals [46,47]; all which can accumulate and culminate in the loss of cell yield, viability and function during and after storage. In the absence of Biopreservation Best Practices, which includes incorporation of an intracellular-like base media, the definitive attribution of hMSCs functional loss post-thaw to cryopreservation per se is questionable. Indeed, the present data suggests that improper cryopreservation protocols and media may be a major contributor to observed post-thaw functional loss. The results in this study reinforce the notion that hMSCs are exposed to freezing injury when cryopreserved in traditional isotonic/extracellular-like cryopreservation home-brew cocktails in the absence of serum



(Figure 3B). However, such cryopreservation injury can be minimized using a base medium that mimics an intracellular-like ionic balance with relevant sugars and polysaccharides (sometimes termed osmolytes) as a serum-free and protein-free biopreservation solution. Such an intracellular-like formulation enabled post-thaw viable recovery similar to, or better than, serum-supplemented cryopreservation media (Figure 3A) consistent with previous reports [48–50]. While the results in Figure 3A suggest a potential correlation between DMSO concentration and post-thaw viability, it must be noted that viability reaches an optimum at around 5–10% DMSO, depending on cell type [38]. The kinetics of DMSO toxicity amplify with increasing concentration and may correlate with adverse events when delivered to patients [51], and as such, cryomedia containing DMSO at concentrations higher than 10% are rarely used in practice.

### Broader implications of biopreservation best practices in the supply chain of cellular therapies: a perspective

Decentralized manufacturing processes, including thawing, isolation steps, washing and characterization, are undesirable burdens for commercialization of cellular therapies, resulting in potential increase in cost of goods (COGs). Nonetheless, such processes are common in most ongoing pre-clinical and clinical studies in the field of cell therapy and Regenerative Medicine. Implementing Biopreservation Best Practices early in the development phase of these studies not only may improve the quality of the final cell product in terms of viable recovery

and function, but can also address an array of potential risks that include safety and regulatory aspects, manufacturing costs, and the robustness of the supply chain. Further, the presence of serum or protein in biopreservation media in manually prepared ‘home-brew’ cocktails can increase the risk of contamination, disease transmission, and formulation error, are not recommended and consistent with current Good Manufacturing Practices (cGMP) [39,52]. Cell-based manufacturing guidelines promote the manufacturing of cellular products in well-defined, serum-free and protein-free media. A final therapeutic product formulated with reduced risks may result in qualification for a ‘thaw-and-infuse’ application model, which can minimize the risk factors and costs associated with specialized processing and equipment at the PoC. Minimizing post-thaw manipulations may lower the risk factors and costs of cellular therapies, and improve access to these promising therapies as they become more routine and transition from specialized centers to local clinics and physician offices.

Incorporation of Biopreservation Best Practices may convey other advantages such as increased stability post-thaw (Figure 4). Indeed, Yang *et al.* reported that cryopreserved hematopoietic progenitor cells exhibited a significant and progressive reduction in post-thaw viable nucleated cell count at temperatures of 22°C and 37°C [53]. For a number of reasons, the cellular product may need be stored for a limited time at the PoC under refrigerated or ambient conditions, both after thaw and before application. These reasons may include scheduled processing, cell wash steps and dose preparation, unplanned interruptions such



as temporary patient or equipment unavailability, or other logistical considerations. Depending on the cell type and conditions, such situations can result in significant post-thaw loss of yield, viability and function, or even scrapped therapeutic product. For MSC-based therapies, the ability to introduce a post-thaw cell holding (stability) step without significant loss of cell quality reinforces the cryopreservation process against external/unpredictable variables, minimizes variability, and ensures desired viable cell recovery post-thaw [23,49].

### CONCLUDING REMARKS

Biopreservation Best Practices ensure cells remain viable and functional throughout the supply chain and manufacturing workflow of the cell product, and convey Quality/Regulatory and Cost-of-Goods benefits toward clinical and commercial development of cell-based therapies. In this case study, we investigated short-term hypothermic storage and long-term cryopreservation options using an hMSC-representative model system. Our results demonstrate that traditional isotonic extracellular-like storage media (cell growth media, physiologic buffers and intravenous infusion fluids) were not the most effective options to support extended stability and maximize post-preservation viable recovery of hMSC. In contrast, the intracellular-like formulation of HypoThermosol FRS (HTS-FRS) improved overall viable recovery during hypothermic storage, and permitted extended storage for a minimum of 3 days. When cryopreserved, hMSCs exhibited the highest viable recovery in the intracellular-like

CryoStor compared to alternative cryopreservation media with and without the addition of serum. In addition to a quantitative focus on post-preservation yield, viability and recovery of functional activity, the Quality and Regulatory footprint of biopreservation media should also be considered suitable for developing cellular therapies for clinical applications. Elimination of serum and protein that may introduce risk, while maintaining post-preservation yield, viability and function, facilitates the Quality and Regulatory materials qualification process. While a variety of home-brew cocktails, pre-formulated media and methods are available for storage of hMSCs, appropriate risk management considerations are recommended when qualifying the solutions for short-term and long-term biopreservation.

### FINANCIAL & COMPETING INTERESTS DISCLOSURE

*Alireza Abazari, Brian J Hawkins and Aby J Mathew are employees of BioLife Solutions. Dominic M Clarke was an employee of BioLife Solutions at the time of data collection.*

*No writing assistance was utilized in the production of this manuscript.*



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## AFFILIATIONS

**Alireza Abazari<sup>1</sup>, Brian J Hawkins<sup>1,2</sup>, Dominic M Clarke<sup>3</sup> & Aby J Mathew<sup>1\*</sup>**

<sup>1</sup>*BioLife Solutions, Inc., Bothell, WA, USA*

<sup>2</sup>*University of Washington Seattle, Seattle, WA, USA*

<sup>3</sup>*Charter Medical Ltd., Winston-Salem, NC, USA*

*\*Corresponding author: amathew@biolifesolutions.com*



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